

PRS (Individual) Checklist for (individual's name)

Note: This checklist is to be completed by Sales Personnel. Please tick in the appropriate check box. If the document was not relevant, please put 'N/A' in the check box.

For New Individual Investor

1. Individual Customer Information Form ☐
2. FATCA and CRS for Individual ☐
3. KIBB PRS Account Opening (Product) Form ☐
4. Investor Suitability Assessment & Risk Profiling Form ☐
5. PRS Provider's Forms - Refer to the respective PRS Provider's Checklist ☐
(Please Include RM10.00 in the investment payment for NEW PPA Account)
6. PDPA PRS Provider's Form ☐
7. KIBB Vulnerable Customer Assessment Form (For Vulnerable Customer) ☐
8. Letter of Consent ☐
9. One (1) photocopy of NRIC (front & back) / Passport (for foreigner) ☐
- must be "Original Sighted and Signed" by Sales Personnel
10. One (1) photocopy of Staff ID Card OR Letter of Appointment ☐
(For STAFF only)
11. Proof of Payment with Indication of Client Name & Client Code ☐

For New / Subsequent Investment / Switching / Redemption /

Cooling-off

1. KIBB UT/PRS Transaction Form For Individual ☐
2. PRS Provider's Forms - Refer to the respective PRS Provider's Checklist ☐
3. One (1) photocopy of NRIC (front & back) / Passport (for foreigner) - ☐
must be "Original Sighted and Signed" by Sales Personnel
4. Proof of Payment with Indication of Client Name & Client Code ☐

For Transfer

1. KIBB UT/PRS Transaction Form For Individual ☐
2. KIBB Transfer of Servicing Agent ☐
3. PRS Provider's Forms - Refer to the respective PRS Provider's Checklist ☐
4. One (1) photocopy of NRIC (front & back) / Passport (for foreigner) - must be "Original Sighted and Signed" by Sales Personnel ☐

Reminder To The Sales Personnel:

1. A copy of the relevant Product Highlights Sheet(s) and Disclosure Document(s) must be provided to the Investor(s) during the presentation of the investment. ☐
2. All placements will only take effect upon fund sighted by the bank or with sufficient available balances in Client Trust Account (CTA). ☐
3. Valid payment advice must be provided for all placements. Investor's name and client code must be written on the payment advice. ☐
4. All forms MUST be duly completed by Sales Personnel. ☐

Note:

(a) All payments must be made payable to "Kenanga Investment Bank Berhad"

(b) Cheque Deposit / Fund Transfer, kindly deposit into Maybank Berhad Acc. No.: 514356-505908

Prepared / Referred By	Name:	Mobile No:
	Branch:	Submission Date:
	BDM / Team Lead:	
Checked By (Operations)	Name:	Date:
	Trader Name:	
	Trader Code:	RM Code: